





# Understanding the NCAT Home Building Disputes Process

In this article, we will provide an overview of the NCAT Home Building Disputes process. We will cover the steps involved in initiating the process, pre-trial procedures, and the hearing itself. Additionally, we will discuss relevant laws and guidelines, such as the Civil and Administrative Tribunal Act 2013 (NSW) and the Home Building Act 1989 (NSW), as well as the Expert Evidence Procedural Directions.

#### Introduction to NCAT

The New South Wales Civil and Administrative Tribunal (NCAT) is a specialist tribunal that was established in 2014 to resolve a wide range of civil and administrative disputes. One of its key functions is to resolve home building disputes between homeowners and builders.

NCAT plays an important role in resolving home building disputes by providing a fair, efficient, and cost-effective alternative to going to court. The Tribunal has the power to make binding decisions and orders on a wide range of issues, including defective work, variations to contracts, and delays in completion.

NCAT also provides various services and resources to help parties resolve disputes, such as mediation and conciliation. The Tribunal's expert evidence procedural directions help ensure that expert witnesses are impartial and cooperative, facilitating a more efficient and collaborative dispute resolution process.

Overall, NCAT is an important forum for resolving home building disputes in New South Wales, and its processes and procedures are designed to ensure a fair and efficient resolution of disputes while maintaining high standards of professionalism and impartiality among expert witnesses.

# The NCAT Home Building Disputes Process

# Initiating the Process

To initiate the NCAT Home Building Disputes process, a homeowner must file an application with NCAT. The application must provide a brief statement of the dispute, the relief being sought, and the basis for the relief. Time limits apply for lodging an application, and fees and costs may also apply.







Once an application is filed, NCAT may issue directions to the parties to prepare for a hearing. This may include ordering the parties to exchange expert reports and Scott Schedules, which outline the specific issues in dispute and the evidence each party intends to rely on. The parties may also be required to attend a compulsory conference or mediation to try to resolve the dispute before a hearing.

#### **Pre-Hearing Procedures**

Before the hearing, NCAT may hold directions hearings to clarify the issues in dispute and to make orders for the exchange of evidence, including expert reports and Scott Schedules. At this stage, the parties may also be ordered to attend a compulsory conference, <u>mediation</u> or <u>conciliation</u> to try to resolve the dispute.

Expert reports and Scott Schedules are essential components of the pre-hearing procedures, as they set out the key issues in dispute and the evidence that each party intends to rely on. The expert evidence procedural directions help ensure that the reports and schedules are prepared in a manner that is consistent with the principles of impartiality and cooperation.

#### The Hearing

At the hearing, each party will have the opportunity to present their evidence and arguments to the Tribunal. Expert witnesses may be called to give evidence and may be cross-examined by both parties, either separately or together in a "hot tub" arrangement. The use of hot tubs allows for a more informal and collaborative process and can lead to a more efficient resolution of disputes.

<u>Legal representation</u> is permitted at the hearing, but it is not required. In fact, NCAT encourages parties to represent themselves, as this can lead to a more cost-effective and efficient resolution of disputes. However, if a party chooses to be represented, they must comply with the relevant rules and guidelines, including the Expert Evidence Procedural Directions.

In summary, the NCAT Home Building Disputes process involves several stages, including initiating the process, pre-hearing procedures, and the hearing itself. The use of expert reports and Scott Schedules, as well as the hot tub cross-examination of expert witnesses, are essential components of the process. Parties may choose to be represented by legal counsel, but it is not required, and NCAT encourages parties to represent themselves.









#### Relevant Laws and Guidelines

#### Civil and Administrative Tribunal Act 2013 (NSW)

The Civil and Administrative Tribunal Act 2013 (NSW) sets out the framework for the NCAT Home Building Disputes process. Section 36 of the Act establishes the guiding principle that the proceedings should facilitate the just, quick, and cheap resolution of the real issues in the dispute. The Act also sets out the duty of cooperation that parties and their representatives have to work with the Tribunal to achieve this goal.

The purpose of the Act is to establish an efficient and effective administrative tribunal system that provides a forum for the resolution of disputes in a fair, just, economical and timely manner. The Act also aims to provide a more accessible and affordable alternative to court proceedings for resolving disputes.

#### **Home Building Act 1989 (NSW)**

The <u>Home Building Act 1989 (NSW)</u> sets out the legal requirements for residential building work in New South Wales. The Act regulates the licensing of builders and contractors, sets out consumer protections for homeowners, and provides a framework for resolving disputes between homeowners and builders.

The Home Building Act 1989 (NSW) is particularly relevant to NCAT Home Building Disputes, as it provides the legal framework for many of the disputes that are heard by the Tribunal. Homeowners can use the Act to make claims for defective work, <u>variations to contracts</u>, and delays in completion, among other issues.

### **Expert Evidence Procedural Directions**

The Expert Evidence Procedural Directions are a set of guidelines that help ensure that expert evidence is presented in a manner that is consistent with the principles of impartiality and cooperation. The guidelines were established by Wright J President in 2018 and are applicable to all NCAT Home Building Disputes.

NCAT Procedural Direction 3 requires that any party who retains an expert for the purposes of a Home Building Dispute must bring to the expert's attention the contents of the Expert Evidence Procedural Directions. The directions provide guidance on the duty of experts to assist the Tribunal impartially, the duty to cooperate with other experts, and the need to exercise independent professional judgement in relation to any joint report or conclave. The directions help to ensure that expert evidence is presented in a manner that is fair, efficient, and consistent with the guiding principle of the Civil and Administrative Tribunal Act 2013 (NSW).







#### Benefits of the NCAT Process

One of the key benefits of the NCAT Home Building Disputes process is that it provides a just, quick, and cheap resolution of disputes. The Tribunal's guiding principle, as set out in the Civil and Administrative Tribunal Act 2013 (NSW), is to ensure that disputes are resolved efficiently and cost-effectively, without sacrificing fairness or impartiality.

Expert input is also a significant benefit of the NCAT process. The use of <u>expert reports</u> and concurrent expert evidence (hot tub) can provide parties with valuable insights into technical issues, which can help to resolve disputes more efficiently and effectively.

The flexibility and informality of the NCAT process are other significant advantages. Unlike court proceedings, the NCAT process is designed to be more accessible and user-friendly. Self-represented parties are welcome, and the Tribunal provides guidance and resources to assist parties throughout the process.

Overall, the NCAT Home Building Disputes process offers many benefits to homeowners who are seeking to resolve disputes with builders or contractors. By providing a just, quick, and cheap resolution of disputes, expert input, and a flexible and informal process, the NCAT process is an essential forum for resolving home building disputes in New South Wales.

# Expert Report Scott Schedule in the Context of NCAT Building Disputes

Expert reports and Scott Schedules are critical components of the NCAT Home Building Disputes process. They provide parties with an opportunity to present their evidence in a structured and organised manner and to ensure that all relevant issues are addressed. An expert report is a written document that sets out an expert's opinion on a technical issue related to the dispute, while a Scott Schedule is a table that sets out each issue in dispute and the evidence each party intends to rely on.

To learn more about expert reports and Scott Schedules in the context of NCAT Building Disputes, homeowners can refer to the NCAT Home Building Disputes process, which outlines the requirements for preparing and exchanging expert reports and Scott Schedules. Homeowners may also wish to consult with a construction lawyer to ensure that their reports and schedules comply with the relevant rules and guidelines.







## Conclusion

The NCAT Home Building Disputes process is an essential forum for resolving disputes between homeowners and builders in New South Wales. By providing a just, quick, and cheap resolution of disputes, expert input, and a flexible and informal process, the NCAT process offers many benefits to homeowners who are seeking to resolve disputes with builders or contractors.

If you are facing a home building dispute, it is essential to seek professional advice from a construction lawyer who has experience in this area of law. A construction lawyer can provide guidance on the NCAT process, assist with preparing expert reports and Scott Schedules, and represent you at Tribunal hearings. Remember that the key to resolving disputes successfully is to act quickly and seek professional advice early. The longer you wait, the more complicated and costly the dispute may become. By taking action early and seeking professional advice, you can increase your chances of achieving a successful outcome and moving on from the dispute.

<u>Contracts Specialists</u> are construction lawyers in Sydney who act for homeowners at NCAT throughout NSW. We offer a free 15-minute consultation to discuss your NCAT home building dispute.